#### Single and Multiple Dose Pharmacokinetics of YPL-001, a Novel Botanical Drug Product in Development for the Treatment of Respiratory Inflammatory Diseases. J. Batonga<sup>1</sup>, C. Engel<sup>1</sup>, M. Abu-Rashid<sup>1</sup>, P. Ruhn<sup>2</sup>, F. Vickers<sup>2</sup>, Y. Lee<sup>3</sup>, J. Yoo<sup>3</sup>, S. Lew<sup>3</sup>, G.J. Criner<sup>4</sup>, M.T. Dransfield<sup>5</sup>, S. Arora<sup>6</sup>, C. Tomek<sup>2</sup>, F. Fakih<sup>7</sup> and J.F. Pritchard<sup>2</sup> <sup>1</sup>Celerion, Inc, Montreal, QC, Canada, <sup>2</sup>Celerion, Inc, Lincoln, NE, USA, <sup>3</sup>Yungjin Pharm. CO., LTD., Seoul, Korea, <sup>4</sup>Thoracic Surgery and Medicine, Temple Univ Hosp, Philadelphia, PA, USA, <sup>5</sup>Univ of Alabama Birmingham & Birmingham VA Med Ctr, Birmingham, AL, USA, <sup>6</sup>Aventiv Research, Columbus, OH, United States, <sup>7</sup>Florida Pulmonary Consultants & Sleep Disorder Cen, Winter Park, FL, USA Background Serial blood samples were collected for at least 12 hours following the first and YPL-001 is an oral dosage form of the extract from the plant Speedwell used in last dose in the MAD and POC studies for PK assessment of verproside and traditional Asian medicine to treat respiratory inflammatory diseases including picroside II. chronic obstructive pulmonary disease (COPD). This botanical drug product is Statistical assessment of dose proportionality for the verproside and picroside II a mixture of 5 identified active iridoids and other related compounds. Biological PK parameters AUC and Cmax were explored using the Power Model in the activity is considered to be from the mixture and not from one component. MAD and SAD studies. Current long term control medications for the treatment of COPD include Food-effect in the SAD study was assessed using analyses of variance corticosteroids, cromolyn sodium, immunomodulators, long acting beta agonists, performed on the In-transformed AUC and Cmax for verproside. methylxanthines, and leukotriene modifiers. YPL-001 belongs most closely with **Study Population:** the leukotriene modifier class of drug.

#### **Preclinical Results:**

- YPL-001 was well tolerated in a panel of standard animal toxicology studies. Based on NOAEL values of 180 mg/kg/day and 1000 mg/kg/day established
- in rats and dogs, respectively, in 4-week repeated dose oral toxicology studies, the human equivalent doses were calculated to be 28.8 mg/kg and 540 mg/kg, respectively (i.e., 2016 mg and 37800 mg, respectively based on a 70 kg human).
- After oral administration of YPL-001 at 12.5, 25, and 50 mg/kg doses (5.225, 10.45, and 20.9 mg/kg as verproside) to rats, verproside was rapidly absorbed. AUC and Cmax of verproside increased linearly with YPL-001 dose. Other parameters of verproside were also comparable among the three oral doses studied, indicating that the pharmacokinetic (PK) parameters of verproside were independent of doses.
- YPL-001 inhibited neutrophil accumulation in bronchoalveolar lavage (BAL) fluid and several pro-inflammatory cytokines and chemokines (including interleukin [IL]-8) and activated the nuclear factor (erythroid-derived 2)-like 2 (Nrf2) antioxidative pathway.
- YPL-001 may down-regulate neutrophil influx and production of tumor necrosis factor alpha (TNF- $\alpha$ ), IL-1 $\beta$ , IL-6, chemokine ligand-1 (CXCL-1), and macrophage inflammatory protein-2 (MIP-2).

### Rationale

Assess single-dose and multiple-dose PK of verproside and picroside II, 2 of the active iridoids components of YPL-001, in healthy subjects and in COPD patients to allow recommendations on dose levels and dosing intervals in future studies.

## Methods

#### Study Design:

Study Design	Single ascending dose (SAD)	Multiple ascending dose (MAD)	Proof of Concept (POC)		
Population	Healthy Subjects	Healthy Subjects	COPD Patients		
Dose	Cohort 1: 40 mg YPL-001 or Placebo	Cohort 1: 80 mg YPL-001 BID or Placebo	Cohort 1: 80 mg YPL-001 BID		
	Cohort 2: 80 mg or Placebo	Cohort 2: 160 mg YPL-001 BID or Placebo	Cohort 2: 160 mg YPL-001 BID		
	Cohort 3: 160 mg or Placebo	Cohort 3: 240 mg YPL-001 BID or Placebo	Cohort 3: Placebo BID		
	Cohort 4: 240 mg or Placebo				
	Cohort 5:320 mg or Placebo				
Dosing regimen	Single doses under fasting conditions with Cohort 3 subjects crossing over to fed conditions after a 14 day washout	Multiple doses under fasting conditions administered from Day 1 through Day 14	Multiple doses under fasting conditions administered from Day 1 through Day 55/56		
Study Assessments	Safety PK (Day 1)	Safety PK (Days 1 and 14)	Safety PK (Days 1 and 55/56)		

BID: twice a day (every 12 hours)

- Healthy adult male and female subjects were enrolled in the SAD and MAD studies.
- Adult male and female patients with moderate to severe COPD (conform to the severity classification for Global Initiative for Chronic Obstructive Lung Disease [GOLD] Stage 2-3) with a predominant bronchitic component were enrolled in the POC study.
- Safety assessments included physical examinations, vital signs, pulse oximetry, electrocardiograms (ECGs), laboratory tests, and adverse events (AEs). Additionally, in the POC study, assessment of respiratory symptoms was performed daily.
- Serial blood and urine samples were collected for 72 hours postdose in the SAD study for PK assessment of verproside only.



## Results

Verproside and Picroside II Pharmacokinetics:

- Verproside and picroside II plasma PK were characterized by rapid absorption (Tmax  $\leq$  1 hour) and rapid oral clearance (t1/2 < 2.5 hours) over single doses up to 320 mg and multiple doses up to 240 mg BID of YPL 001 under fasting conditions
- Verproside and picroside II exposure increased in a dose-dependent manner following single doses up to 240 mg and following multiple doses up to 160 mg BID. No further increase in exposure at higher doses was observed.
- Following multiple doses of YPL-001, modest accumulation of verproside and picroside II was observed.
- Exposure in patients was similar to healthy subjects.
- Following single-dose administration under fed conditions a 44-58% decrease in verproside exposure was observed.
- A high inter-subject variability was observed in plasma concentrations and PK parameters for both compounds.
- Urine verproside concentrations were negligible.

#### Verproside Concentrations Following Single and Multiple Doses of YPL-001 40 to 320 mg



					(Mean ±	SD)				
					DAY	1				
Study		SAD			MAD			POC		
Dose Level	40 mg N=1	80 mg N=6	160 mg N=6	240 mg N=6	320 mg N=6	80 mg N=6	160 mg N=8	240 mg N=6	80 mg N=18	160 m N=20
AUC <sub>0-t</sub> (pg*hr/mL)	742	752 ± 381	2561 ± 1795	5457 ± 5016	5361 ± 867	3232 ± 2869	8021 ± 5801	6673 ± 4479	4566 ± 4290	6936 5931
AUC <sub>0-inf</sub> (pg*hr/mL)			3805 ± 1824	8220 ± 5333	6216 ± 778	3348 ± 2917	8300 ± 6348	6833 ± 4478	5963 ± 5096	7452 6462
C <sub>max</sub> (pg/mL)	1190	1140 ± 328	2900 ± 1760	4780 ± 5660	4490 ± 1440	1599 ±757	4875 ± 2820	4265 ± 2354	2135 ± 1449.5	3573 2822
T <sub>max</sub> (hr)*	0.4969	0.668 (0.52, 1.00)	0.507 (0.33, 0.67)	0.5867 (0.35, 1.50)	0.5057 (0.35, 1.50)	0.875 (0.50, 2.50)	0.502 (0.30, 1.50)	0.503 (0.50, 1.00)	0.875 (0.17, 4.00)	0.75 (0.25 4.00
t <sub>1/2</sub> (hr)			0.68 ± 0.263	0.92 ± 0.176	0.71 ± 0.100	1.12 ± 0.493	1.31 ± 0.473	1.36 ± 0.146	1.71 ± 0.662	1.69 0.65
			VERPF	ROSIDE MUL	TIPLE-DOS. (Mean ±		METERS			
	$\searrow$						<b>DAY</b> 14		DA	<b>Y</b> 56
		$\overline{\ }$				N=6	N=8	N=6	N=17	N=2
AUC <sub>0-t</sub> (pg*hr/mL)						4596 ± 4127	10770 ± 11489	9566 ± 9298	4178 ± 4558	6918 568
AUCtau(pg*hr/mL)						4709 ± 4080	10860 ± 11424	9658 ± 9246	4374 ± 4646	6977 564
C <sub>max_ss</sub> (pg/mL)						2414 ± 1281	6737 ± 7342	5458 ± 4387	2228 ± 2332	2888 222
						0.756 (0.252,	0.759 (0.329,	0.528 (0.272,	0.750 (0.17,	0.75
T <sub>max_ss</sub> (hr)*						2.00)	1.50)	0.751)	3.00)	2.00

#### **Picroside II Concentrations Following Single and Multiple Doses of** YPL-001 80 to 240 mg

- 80 ma YPL-001

- 240 mg YPL-001



PICROSIDE II SINGLE-DOSE PK PARAMETERS (Mean ± SD)								
			DAY 1					
Study		MAD		PC	C			
Dose Level	80 mg N=6	160 mg N=8	240 mg N=6	80 mg N=16	160 mg N=20			
AUC <sub>0-t</sub> (pg*hr/mL)	850 ± 877	2212 ± 1801	1548 ± 1119	1431±1658	2078± 1889			
AUC <sub>0-inf</sub> (pg*hr/mL)	1973±918	2960±1726	1907±1170	2375±2403	$2351 \pm 2082$			
C <sub>max</sub> (pg/mL)	$305 \pm 210$	$842 \pm 526$	$624 \pm 333$	$411 \pm 324$	$618 \pm 543$			
T <sub>max</sub> (hr)*	0.875 (0.499, 2.50)	0.626 (0.303, 2.03)	0.753 (0.501, 2.50)	1.000 (0.17, 5.00)	0.625 (0.25, 5.00)			
t <sub>1/2</sub> (hr)	$1.81 \pm 0.588$	$1.68 \pm 0.298$	$1.59 \pm 0.577$	2.177 ± 0.8656	2.221 ± 0.9263			
	PICROSIDE II MULTIPLE-DOSE PK PARAMETERS (Mean ± SD)							
	DAY 14 DAY 56							
	N=6	N=6	N=5	N=16	N=20			
AUC <sub>0-t</sub> (pg*hr/mL)	$1124 \pm 1044$	$3024 \pm 3877$	$1804 \pm 949$	1207±1433	1949± 1858			
AUCtau(pg*hr/mL)	2556±599	4287±4369	1985±1024	1517±1496	2432±1810			
C <sub>max_ss</sub> (pg/mL)	$419 \pm 240$	1116±1391	$751 \pm 490$	$370 \pm 341$	$491 \pm 337$			
T <sub>max_ss</sub> (hr)*	0.756 (0.252, 2.00)	0.759 (0.329, 1.50)	0.748 (0.524, 0.751)	0.750 (0.17, 3.50)	1.000 (0.17, 2.00)			
RAUC	$1.39 \pm 0.325$	1.57±1.01	$1.54 \pm 0.440$	1.094 ± 0.67100	1.085 ± 0.81768			
* = T <sub>max</sub> is presented as median (minimum, maximum)								

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#### Safety

- All AEs observed in healthy subjects were mild to moderate and the majority were unlikely related or unrelated to treatment.
- In the POC study, 52% of patients experienced AEs, with the majority of these following placebo.

<b>Respiratory Adverse Events*</b>	Treatment A YPL-001 80 mg	Treatment B YPL-001 160 mg	Treatment C Placebo	Total
Other COPD related AEs including Exacerbation	3 (15%)	2 (10%)	5 (25%)	10 (16%)
Cough	0 (0%)	2 (10%)	5 (25%)	7 (11%)
Oropharyngeal pain	1 (5%)	0 (0%)	1 (5%)	2 (3%)
Dyspnea	1 (5%)	0 (0%)	0 (0%)	1 (2%)
Rhinitis allergic	0 (0%)	0 (0%)	1 (5%)	1 (2%)
Rhinorrhea	0 (0%)	0 (0%)	1 (5%)	1 (2%)
Bronchitis	1 (5%)	0 (0%)	1 (5%)	2 (3%)
Upper respiratory tract infection	0 (0%)	0 (0%)	2 (10%)	2 (3%)
Bronchopneumonia	1 (5%)	0 (0%)	0 (0%)	1 (2%)
Treatment A: Multiple oral doses of YPL-001 80 mg BID Treatment B: Multiple oral doses of YPL-001 160 mg BI Treatment C: Multiple oral doses of placebo BID on Day	D on Days 1 - 55 and	QD on Day 56 AM		

reatment C: Multiple oral doses of placebo Bid on Days 1 - 55 and QD on Day 56 Aw \*Adverse events are coded using MedDRA<sup>®</sup> Version 18.0

There was a dose-related reduction in the percentage of COPD patients with weekly mean COPD symptom scores  $\geq 2$  in patients receiving YPL-001 compared to placebo.

### Summary

- Verproside and picroside II exposure appeared to increase in a dose-dependent manner until the 240 mg BID dose level, after which no further increases in exposure were observed suggesting capacity-limited absorption. The 240 mg dose of YPL-001 is expected to contain amounts of identified
- compounds relative to those observed in traditional Chinese medicine.

#### Amount (mg) of Identified Compounds in YPL-001 in Proposed Clinical **Dose Versus Traditional Chinese Medicine Use**

Identified Compounds in YPL-001	1.40 g (Single Dose) Traditional Chinese Medicine	2.80 g/d (Divided Dose) Traditional Chinese Medicine <sup>a</sup>	240 mg (Single Dose) in Clinical Study <sup>b</sup>
Verproside	47.94	95.88	86.88- 91.92
Veratric acid	2.10	4.20	3.24 - 6.24
Catalpolside	3.43	6.86	10.08 - 10.38
Picroside II	3.77	7.54	11.76- 12.24
Isovanilloyl catalpol	3.53	7.06	12.78- 14.16
6-O-veratroyl catalpol	7.88	15.76	10.86 - 16.44
Total (mg)	68.65	137.30	142.5 - 144.48

<sup>b</sup>Extrapolations based on batch analyses of a 40 mg dose

## Conclusion

Multiple doses of YPL-001 up to 240 mg BID in healthy subjects and multiple doses of YPL-001 up to 160 mg BID in patients with moderate to severe COPD were safe and well tolerated. Beneficial therapeutic effects seen in patients were consistent with traditional use in Asian medicine. The PK profiles of verproside and picroside II and the beneficial therapeutic effects observed in the POC study provide a basis for future studies of longer duration at doses up to 240 mg BID.

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